Medical Policy
Hematopoietic Cell Transplantation for Hodgkin Lymphoma

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Policy Number: 207
BCBSA Reference Number: 8.01.29
NCD/LCD: National Coverage Determination (NCD) for Stem Cell Transplantation (110.8.1)

Related Policies
- Hematopoietic Stem Cell Transplantation for Non-Hodgkin Lymphomas, #143

Policy
Commercial Members: Managed Care (HMO and POS), PPO, and Indemnity Medicare HMO BlueSM and Medicare PPO BlueSM Members

Autologous hematopoietic cell transplantation (HCT) may be considered MEDICALLY NECESSARY in patients with primary refractory or relapsed Hodgkin lymphoma.

Allogeneic HCT, using either myeloablative or reduced-intensity conditioning regimens, may be considered MEDICALLY NECESSARY in patients with primary refractory or relapsed Hodgkin lymphoma.

Tandem autologous HCT may be considered MEDICALLY NECESSARY:
- In patients with primary refractory HL or
- In patients with relapsed disease with poor risk features who do not attain a complete remission to cytoreductive chemotherapy prior to transplantation.

Second autologous HCT for relapsed lymphoma after a prior autologous HCT is considered INVESTIGATIONAL.

Other uses of HCT in patients with HL are considered INVESTIGATIONAL, including, but not limited to, initial therapy for newly diagnosed disease to consolidate a first complete remission.

Medicare HMO BlueSM and Medicare PPO BlueSM Members

Medical necessity criteria and coding guidance can be found through the link below.
National Coverage Determinations (NCDs)

National Coverage Determination (NCD) for Stem Cell Transplantation (110.8.1)

Note: To review the specific NCD, please remember to click “accept” on the CMS licensing agreement at the bottom of the CMS webpage.

Prior Authorization Information

Inpatient
- For services described in this policy, precertification/preauthorization IS REQUIRED for all products if the procedure is performed inpatient.

Outpatient
- For services described in this policy, see below for products where prior authorization might be required if the procedure is performed outpatient.

<table>
<thead>
<tr>
<th>Outpatient</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial Managed Care (HMO and POS)</td>
</tr>
<tr>
<td>Commercial PPO and Indemnity</td>
</tr>
<tr>
<td>Medicare HMO Blue&lt;sup&gt;SM&lt;/sup&gt;</td>
</tr>
<tr>
<td>Medicare PPO Blue&lt;sup&gt;SM&lt;/sup&gt;</td>
</tr>
</tbody>
</table>

CPT Codes / HCPCS Codes / ICD Codes

Inclusion or exclusion of a code does not constitute or imply member coverage or provider reimbursement. Please refer to the member’s contract benefits in effect at the time of service to determine coverage or non-coverage as it applies to an individual member.

Providers should report all services using the most up-to-date industry-standard procedure, revenue, and diagnosis codes, including modifiers where applicable.

The following codes are included below for informational purposes only; this is not an all-inclusive list.

The above medical necessity criteria MUST be met for the following codes to be covered for Commercial Members: Managed Care (HMO and POS), PPO, Indemnity, Medicare HMO Blue and Medicare PPO Blue:

### CPT Codes

<table>
<thead>
<tr>
<th>CPT codes:</th>
<th>Code Description</th>
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<tbody>
<tr>
<td>38205</td>
<td>Blood-derived hematopoietic progenitor cell harvesting for transplantation, per collection; allogeneic</td>
</tr>
<tr>
<td>38206</td>
<td>Blood-derived hematopoietic progenitor cell harvesting for transplantation, per collection; autologous</td>
</tr>
<tr>
<td>38230</td>
<td>Bone marrow harvesting for transplantation; allogeneic</td>
</tr>
<tr>
<td>38232</td>
<td>Bone marrow harvesting for transplantation; autologous</td>
</tr>
<tr>
<td>38241</td>
<td>Hematopoietic progenitor cell (HPC); autologous transplantation</td>
</tr>
</tbody>
</table>

### HCPCS Codes

<table>
<thead>
<tr>
<th>HCPCS codes:</th>
<th>Code Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>S2140</td>
<td>Cord blood harvesting for transplantation, allogeneic</td>
</tr>
<tr>
<td>S2142</td>
<td>Cord blood-derived stem-cell transplantation, allogeneic</td>
</tr>
</tbody>
</table>
Bone marrow or blood-derived peripheral stem-cell harvesting and transplantation, allogeneic or autologous, including pheresis, high-dose chemotherapy, and the number of days of post-transplant care in the global definition (including drugs; hospitalization; medical surgical, diagnostic and emergency services)

**ICD-10 Procedure Codes**

<table>
<thead>
<tr>
<th>ICD-10-PCS procedure codes:</th>
<th>Code Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>30233G0</td>
<td>Transfusion of Autologous Bone Marrow into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30233G1</td>
<td>Transfusion of Nonautologous Bone Marrow into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30233X0</td>
<td>Transfusion of Autologous Cord Blood Stem Cells into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30233X1</td>
<td>Transfusion of Nonautologous Cord Blood Stem Cells into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30233Y0</td>
<td>Transfusion of Autologous Hematopoietic Stem Cells into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30233Y1</td>
<td>Transfusion of Nonautologous Hematopoietic Stem Cells into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30243G0</td>
<td>Transfusion of Autologous Bone Marrow into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30243G1</td>
<td>Transfusion of Nonautologous Bone Marrow into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30243X0</td>
<td>Transfusion of Autologous Cord Blood Stem Cells into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30243X1</td>
<td>Transfusion of Nonautologous Cord Blood Stem Cells into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30243Y0</td>
<td>Transfusion of Autologous Hematopoietic Stem Cells into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30243Y1</td>
<td>Transfusion of Nonautologous Hematopoietic Stem Cells into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>30263G0</td>
<td>Transfusion of Autologous Bone Marrow into Central Artery, Percutaneous Approach</td>
</tr>
<tr>
<td>30263G1</td>
<td>Transfusion of Nonautologous Bone Marrow into Central Artery, Percutaneous Approach</td>
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<tr>
<td>30263X0</td>
<td>Transfusion of Autologous Cord Blood Stem Cells into Central Artery, Percutaneous Approach</td>
</tr>
<tr>
<td>30263X1</td>
<td>Transfusion of Nonautologous Cord Blood Stem Cells into Central Artery, Percutaneous Approach</td>
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<tr>
<td>30263Y0</td>
<td>Transfusion of Autologous Hematopoietic Stem Cells into Central Artery, Percutaneous Approach</td>
</tr>
<tr>
<td>30263Y1</td>
<td>Transfusion of Nonautologous Hematopoietic Stem Cells into Central Artery, Percutaneous Approach</td>
</tr>
<tr>
<td>3E03305</td>
<td>Introduction of Other Antineoplastic into Peripheral Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>3E04305</td>
<td>Introduction of Other Antineoplastic into Central Vein, Percutaneous Approach</td>
</tr>
<tr>
<td>3E05305</td>
<td>Introduction of Other Antineoplastic into Peripheral Artery, Percutaneous Approach</td>
</tr>
<tr>
<td>3E06305</td>
<td>Introduction of Other Antineoplastic into Central Artery, Percutaneous Approach</td>
</tr>
</tbody>
</table>

**Description**

**Hodgkin Lymphoma**

HL is a relatively uncommon B-cell lymphoma. In 2017, the estimated number of new cases in the United States was approximately 8260 and 1070 estimated deaths. The disease has a bimodal distribution, with
most patients diagnosed between the ages of 15 and 30 years, with a second peak in adults aged 55 years and older.

The 2008 World Health Organization classification divided HL into 2 main types\(^2\); these classifications did not change in the 2016 update:\(^3\)

1. "Classical" HL
   - Nodular sclerosis
   - Mixed cellularity
   - Lymphocyte depleted
   - Lymphocyte-rich
2. Nodular lymphocyte-predominant HL.

In Western countries, "Classical" HL accounts for 95% of cases of HL and, for nodular lymphocyte-predominant HL, only 5%\(^4\). "Classical" HL is characterized by the presence of neoplastic Reed-Sternberg cells in a background of numerous non-neoplastic inflammatory cells. Nodular lymphocyte-predominant HL lacks Reed-Sternberg cells but is characterized by the presence of lymphocytic and histiocytic cells termed "popcorn cells".

**Staging**

The Ann Arbor staging system for HL recognizes that the disease is thought typically to arise in a single lymph node and spread to contiguous lymph nodes with eventual involvement of extranodal sites. The staging system attempts to distinguish patients with localized HL who can be treated with extended field radiation from those who require systemic chemotherapy.

Each stage is subdivided into A and B categories. "A" indicates no systemic symptoms are present and "B" indicates the presence of systemic symptoms, which include unexplained weight loss of more than 10% of body weight, unexplained fevers, or drenching night sweats (see Table 1)\(^4\).

<table>
<thead>
<tr>
<th>Stage</th>
<th>Area of Concern</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>Single lymph node region (I) or localized involvement of a single extralymphatic organ or site (IE)</td>
</tr>
<tr>
<td>II</td>
<td>2 or more lymph node regions on the same side of the diaphragm (II) or localized involvement of a single associated extralymphatic organ or site and its regional lymph node(s) with or without involvement of other lymph node regions on the same side of the diaphragm (IIE). The number of lymph node regions involved should be indicated by a subscript (e.g., II(_a)).</td>
</tr>
</tbody>
</table>
| III   | Involvement of lymph node regions or structures on both sides of the diaphragm. These patients are further subdivided as follows:  
   - III-1: disease limited to spleen or upper abdomen  
   - III-2: periaortic or pelvic node involvement |
| IV    | Disseminated (multifocal) involvement of 1 or more extralymphatic organs, with or without associated lymph node involvement, or isolated extralymphatic organ involvement with distant (nonregional) nodal involvement |

Patients with HL are generally classified into three groups: early-stage favorable (stage I-II with no B symptoms or large mediastinal lymphadenopathy), early-stage unfavorable (stage I-II with a large mediastinal mass, with or without B symptoms; stage IB-IIB with the bulky disease), and advanced-stage disease (stage III-IV)\(^4\).

**Treatment**

Patients with nonbulky stage IA or IIA disease are considered to have the clinically early-stage disease. These patients are candidates for chemotherapy, combined modality therapy, or radiotherapy alone.\(^5\) Patients with obvious stage III or IV disease, bulky disease (defined as a 10-cm mass or mediastinal disease with a transverse diameter >33% of the transverse thoracic diameter), or the presence of B symptoms will require combination chemotherapy with or without additional radiotherapy.\(^5\)
HL is highly responsive to conventional chemotherapy, and up to 80% of newly diagnosed patients can be cured with chemotherapy and/or radiotherapy. Patients who prove refractory or who relapse after first-line therapy have a significantly worse prognosis. Primary refractory HL is defined as disease regression of less than 50% after 4 to 6 cycles of anthracycline-containing chemotherapy, disease progression during induction therapy, or progression within 90 days after the completion of the first-line treatment.

In patients with relapse, the results of salvage therapy vary depending on a number of prognostic factors, as follows: the length of the initial remission, stage at recurrence, and the severity of anemia at the time of relapse. Early and late relapse are defined as less or more than 12 months from the time of remission, respectively. Approximately 70% of patients with late first relapse can be salvaged by autologous hematopoietic cell transplantation (HCT) but not more than 40% with early first relapse.

Only 25% to 35% of patients with primary progressive or poor-risk recurrent HL achieve durable remission after autologous HCT, with most failures being due to disease progression after transplant. Most relapses after transplant occur within 1 to 2 years, and once relapse occurs posttransplant, median survival is less than 12 months.

Hematopoietic Cell Transplantation
HCT is a procedure in which hematopoietic stem cells are infused to restore bone marrow function in cancer patients who receive bone-marrow-toxic doses of drugs with or without whole body radiotherapy. Hematopoietic stem cells may be obtained from the transplant recipient (autologous HCT) or from a donor (allogeneic HCT [allo-HCT]). They can be harvested from bone marrow, peripheral blood, or umbilical cord blood shortly after delivery of neonates. Although cord blood is an allogeneic source, the stem cells in it are antigenically "naive" and thus are associated with a lower incidence of rejection or graft-versus-host disease. Cord blood is discussed in policy #285.

Immunologic compatibility between infused hematopoietic stem cells and the recipient is not an issue in autologous HCT. However, immunologic compatibility between donor and patient is critical for achieving a good outcome with allo-HCT. Compatibility is established by typing of human leukocyte antigen (HLA) using cellular, serologic, or molecular techniques. HLA refers to the tissue type expressed at the HLA-A, -B, and -DR (antigen-D related) loci on each arm of chromosome 6. Depending on the disease being treated, an acceptable donor will match the patient at all or most of the HLA loci (except umbilical cord blood).

Conditioning for HCT

Conventional Conditioning
The conventional ("classical") practice of allo-HCT involves administration of cytotoxic agents (eg, cyclophosphamide, busulfan) with or without total body irradiation at doses sufficient to destroy endogenous hematopoietic capability in the recipient. The beneficial treatment effect in this procedure is due to a combination of initial eradication of malignant cells and subsequent graft-versus-malignancy effect mediated by non-self-immunologic effector cells that develop after engraftment of allogeneic stem cells within the patient’s bone marrow space. While the slower graft-versus-malignancy effect is considered to be the potentially curative component, it may be overwhelmed by extant disease without the use of pretransplant conditioning. However, intense conditioning regimens are limited to patients who are sufficiently fit medically to tolerate substantial adverse events that include pre-engraftment opportunistic infections secondary to loss of endogenous bone marrow function and organ damage and failure caused by the cytotoxic drugs. Furthermore, in any allo-HCT, immunosuppressant drugs are required to minimize graft rejection and graft-versus-host disease, which also increase susceptibility to opportunistic infections.

The success of autologous HCT is predicated on the ability of cytotoxic chemotherapy with or without radiotherapy to eradicate cancerous cells from the blood and bone marrow. This permits subsequent engraftment and repopulation of bone marrow space with presumably normal hematopoietic stem cells.
obtained from the patient before undergoing bone marrow ablation. Patients who undergo autologous
HCT are susceptible to chemotherapy-related toxicities and opportunistic infections before engraftment,
but not graft-versus-host disease.

**Reduced-Intensity Conditioning for Allo-HCT**
RIC refers to the pretransplant use of lower doses or less intense regimens of cytotoxic drugs or
radiotherapy than are used in conventional full-dose myeloablative conditioning treatments. The goal of
RIC is to reduce disease burden but also to minimize as much as possible associated treatment-related
morbidity and nonrelapse mortality in the period during which the beneficial graft-versus-malignancy
effect of allogeneic transplantation develops. Although the definition of RIC remains arbitrary, with
numerous versions employed, all seek to balance the competing effects of nonrelapse mortality and
relapse due to residual disease. RIC regimens can be viewed as a continuum in effects, from
nearly totally myeloablative to minimally myeloablative with lymphoablation, with intensity tailored to
specific diseases and patient condition. Patients who undergo RIC with allo-HCT initially demonstrate
donor-cell engraftment and bone marrow mixed chimerism. Most will subsequently convert to full-donor
chimerism, which may be supplemented with donor lymphocyte infusions to eradicate residual malignant
cells.

For this evidence review, the term RIC refers to all conditioning regimens intended to be
nonmyeloablative, as opposed to fully myeloablative (conventional) regimens.

**Summary**
Hodgkin lymphoma (HL) results from a clonal expansion of a B-cell lineage, characterized by the
presence of Reed-Sternberg cells on pathology. Standard treatment is based on the stage at presentation
and may involve chemotherapy with or without radiotherapy. Hematopoietic cell transplantation (HCT)
has been used for HL, particularly in the setting of relapse or refractory disease.

**Autologous HCT**
For individuals who have HL who receive autologous HCT as first-line therapy, the evidence includes
randomized controlled trials (RCTs). The relevant outcomes are overall survival (OS), disease-specific
survival (DSS), change in disease status, morbidity events, and treatment-related mortality (TRM) and
morbidity. RCTs of autologous HCT as first-line treatment have reported that this therapy does not
provide additional benefit compared with conventional chemotherapy. The evidence is insufficient to
determine the effects of the technology on health outcomes.

For individuals who have relapsed or refractory HL who receive autologous HCT, the evidence includes
RCTs, a meta-analysis, nonrandomized comparative studies, and case series. The relevant outcomes
are OS, DSS, change in disease status, morbidity events, and TRM and morbidity. Two RCTs in patients
with relapsed or refractory disease have reported a benefit in progression-free survival and a trend toward
a benefit in OS. The evidence is sufficient to determine that the technology results in a meaningful
improvement in the net health outcome.

For individuals who have relapsed HL after an autologous HCT who receive a second autologous HCT,
the evidence includes case series. The relevant outcomes are OS, DSS, change in disease status, morbidity events, and TRM and morbidity. No RCTs or nonrandomized comparative studies were identified. In a case series, TRM at 100 days was 11%; at a median follow-up of 72 months, the mortality rate was 73%. The evidence is insufficient to determine the effects of the technology on health outcomes.

**Allogeneic HCT**
For individuals who have HL who receive allo-HCT as first-line therapy, the evidence includes no
published studies. The relevant outcomes are OS, DSS, change in disease status, morbidity events, and
TRM and morbidity. No studies specifically addressing allo-HCT as first-line treatment for HL were
identified. The evidence is insufficient to determine the effects of the technology on health outcomes.
For individuals who have relapsed or refractory HL who receive allo-HCT, the evidence includes a number of case series and a meta-analysis. The relevant outcomes are OS, DSS, change in disease status, morbid events, and TRM and morbidity. A 2016 meta-analysis identified 38 case series evaluating allo-HCT for relapsed or refractory HL. The pooled analysis found a 6-month OS rate of 83% and a 3-year overall survival rate of 50%. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have relapsed HL after autologous HCT who receive allo-HCT, the evidence includes case series and a meta-analysis. The relevant outcomes are OS, DSS, change in disease status, morbid events, and TRM and morbidity. A 2016 meta-analysis of 38 case series found that a previous autologous HCT followed by allo-HCT was significantly associated with higher 1- and 2-year OS rates and significantly higher recurrence-free survival rates at 1 year compared with no previous autologous HCT. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have relapsed or refractory HL who receive reduced-intensity conditioning with allo-HCT, the evidence includes case series, cohort studies, and a systematic review. The relevant outcomes are OS, DSS, change in disease status, morbid events, and TRM and morbidity. A 2015 systematic review cited a number of studies, including some with comparison groups, showing acceptable outcomes after reduced-intensity conditioning with allo-HCT in patients with relapsed or refractory HL. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

**Tandem Autologous HCT**

For individuals who have HL who receive tandem autologous HCT, the evidence includes nonrandomized comparative studies and case series. The relevant outcomes are OS, DSS, change in disease status, morbid events, and TRM and morbidity. One prospective, nonrandomized study reported that, in patients with poor prognostic markers, response to tandem autologous HCT might be higher than for single autologous HCT. This study was not definitive due to potential selection bias; RCTs are needed to determine the impact of tandem autologous HCT on health outcomes in this population. The evidence is insufficient to determine the effects of the technology on health outcomes.

Clinical input obtained from academic medical centers in 2009 supported the use of tandem autologous HCT in specific situations, including primary refractory HL and relapsed disease with poor-risk features, not in remission. Tandem autologous HCT may be considered medically necessary for these situations.

### Policy History

<table>
<thead>
<tr>
<th>Date</th>
<th>Action</th>
</tr>
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<tr>
<td>1/2019</td>
<td>Outpatient prior authorization is required for all commercial products including Medicare Advantage. Effective 1/1/2019.</td>
</tr>
<tr>
<td>3/2018</td>
<td>New references added from BCBSA National medical policy.</td>
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<tr>
<td>2/2018</td>
<td>Coding information clarified.</td>
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<tr>
<td>9/2015</td>
<td>Clarified coding information.</td>
</tr>
<tr>
<td>6/2014</td>
<td>Updated Coding section with ICD10 procedure and diagnosis codes, effective 10/2015.</td>
</tr>
<tr>
<td>12/2012</td>
<td>Updated to add new CPT code 38243.</td>
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| Date       | Reviewed - Medical Policy Group - Hematology and Oncology.  
<table>
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<tr>
<th></th>
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<tbody>
<tr>
<td>7/2011</td>
<td>No changes to policy statements.</td>
</tr>
<tr>
<td>9/2010</td>
<td>No changes to policy statements.</td>
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Information Pertaining to All Blue Cross Blue Shield Medical Policies
Click on any of the following terms to access the relevant information:

- Medical Policy Terms of Use
- Managed Care Guidelines
- Indemnity/PPO Guidelines
- Clinical Exception Process
- Medical Technology Assessment Guidelines

References