The Licensed Applied Behavior Analyst (LABA) is responsible for developing an individualized treatment plan for Applied Behavior Analysis (ABA) that will guide recommendations for treatment goals, treatment intensity, and service delivery for an individual diagnosed with Autism Spectrum Disorder (ASD). The treatment plan should include information about:

- assessment, including psychosocial and medical history
- coordination of care
- skill generalization
- caregiver involvement
- supervision protocols
- plans for transition and discharge of services

We use the information provided through the treatment plans as one factor in the determination of medical necessity of ABA services. We expect that the LABA will update the treatment plan when requesting new authorizations or additional services. Updates may include:

- progress toward goals, including data
- changes in medical history
- changes in psychosocial or educational environments
- changes in caregiver or treatment team

Be sure to include all of the following in your treatment plan

**Patient information**
- Member name
- Member identification number
- Member date of birth, age, and gender

**Provider information**
- Provider name
- Provider address
- Supervising professional name, email, and phone number

**Assessment information**
- Describe the developmentally appropriate assessment completed at the start of ABA services to identify member’s strengths and weaknesses as they pertain to the symptoms of ASD as well as adaptive and behavioral functioning.
- Type of assessment completed (for example: Vineland Adaptive Behavior Scales, Verbal Behavior Milestones Assessment and Placement Program, Adaptive Behavior Assessment System) and results of assessment
- Clinical response to treatment
- Barriers to treatment

**Relevant medical and psychosocial history**
- Current diagnoses and medications; medical concerns that impact treatment
- Living situation and family support system
- Describe other educational services and therapies being provided
- Describe how care coordination is occurring
Treatment goals

Treatment goals should be individualized, measurable, and objective, and include data used to monitor progress. The treatment plan should identify the objective and measurable treatment goals to address problem areas that were identified through the assessment process and are targeted for intervention. The treatment goals should be directly related to the diagnosis of autism spectrum disorder and should be defined appropriate to proposed treatment intensity and service delivery. They should include:

- Criteria for maintenance and generalization of skills
- Identification of treatment setting/conditions under which skill is being taught
- Ongoing progress monitoring:
  - Baseline data for each treatment goal (along with date introduced)
  - Current data for each treatment goal (data may be reported in various forms such as frequency, independence, prompts, accuracy, duration, etc.), expressed by the same data collection method as the baseline data so that progress can be measured.
  - Expected mastery date
  - Statement of progress (for example, progressing; not progressing; on hold; modified; achieved; discontinued)
- Identification of parent/caregiver training goals to ensure generalization of skills
- As appropriate, identify community-based goals and goals addressed through social skills group/group-based intervention

Supervision protocol

Describe the frequency and format of supervision necessary to effectively intervene as related to proposed treatment goals and service intensity.

Transition plan

Describe the process in place, as it pertains to the individual member, to systematically fade services as clinically determined progress is made. The transition plan should include:

- benchmarks for transition of skills to the individual’s natural environment
- generalization of skills
- systematic fading of required service to effectively maintain treatment gains

Discharge plan

Describe potential reasons for discharge of services, including process to effectively transition services or discontinue services. Discharge plan should include report of member progress at the time of discharge.

Crisis plan

Describe procedures that are in place to maintain the safety of members and professionals in the event of a behavioral, weather, or other emergency situation.

Service requested

Include the CPT code requested as well as the units of service requested per week of service.